



10-21-02  
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OCT 25 2002

Technology Center 2100

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October 18, 2002

IN REPLY REFER TO:

2097-024

**VIA U.S. EXPRESS MAIL**

BOX RCE (FEE)

Assistant Commissioner for Patents  
Washington, DC 20231

Examiner: Samuel G. Rimell  
Art Unit: 2175

Re: U.S. Patent Application  
Applicant: Horowitz et al.  
Serial No.: 09/288,757  
Filed: April 8, 1999  
For: DENTAL INSURANCE ELIGIBILITY DETERMINATION  
AND UTILIZATION RECORDATION SYSTEM

Dear Sirs:

Enclosed for filing please find a Request for Continued Examination (RCE) Transmittal, together with an Amendment Pursuant to RCE Under 37 CFR § 1.114. The enclosed filing comprises the following:

1. Request for Continued Examination (RCE) Transmittal;
2. Fee Transmittal for FY 2002;
3. Amendment Pursuant to RCE Under 37 CFR § 1.114;
4. Declaration of Danny L. Bentley;
5. Supplemental Declaration of Dr. Fred L. Horowitz;
6. Request for Extension of Time; and
7. Certificate of Mailing by Express Mail.

PATZIK, FRANK & SAMOTNY LTD.

BOX RCE (FEE)

Assistant Commissioner for Patents

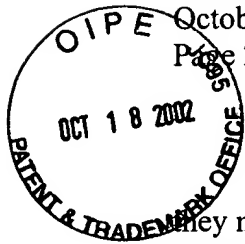
October 18, 2002

Page 2

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If any charges or fees must be paid in connection with the filing of the above amendment, they may be paid out of our deposit account No. 50-1325. A duplicate copy of this transmittal letter is enclosed.

Very truly yours,

PATZIK, FRANK & SAMOTNY LTD.

  
Max Shafital

MS/dma

Enclosures



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IN THE  
**UNITED STATES**  
**PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Horowitz et al.

ATTORNEY DOCKET NO.: 2097-024

SERIAL NO.: 09/288,757

FILING DATE: April 8, 1999

FOR: DENTAL INSURANCE  
ELIGIBILITY  
DETERMINATION AND  
UTILIZATION  
RECORDATION  
SYSTEM

RCE TRANSMITTAL;  
AMENDMENT  
PURSUANT TO RCE  
UNDER 37 CFR § 1.114;  
DECLARATION OF  
DANNY L. BENTLEY;  
SUPPLEMENTAL  
DECLARATION OF DR.  
FRED L. HOROWITZ;  
REQUEST FOR  
EXTENSION OF TIME

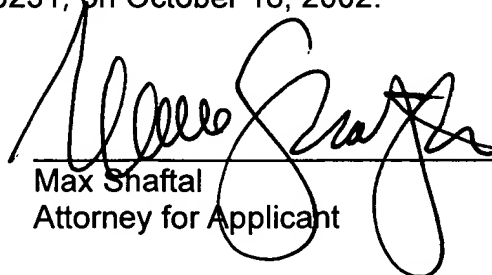
**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Date of Deposit: October 18, 2002

Express Mail Label No. EV 196441676 US

I hereby certify that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to: BOX RCE (FEE), Commissioner for Patents, Washington, DC 20231, on October 18, 2002.

Dated: October 18, 2002

  
\_\_\_\_\_  
Max Shaftal  
Attorney for Applicant

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Attorney

Max Shaftal  
Scott Smilie

Reg. No.

31,422  
44,341

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 425.00

## Complete if Known

Application Number 09/288,757  
Filing Date 04/08/1999  
First Named Inventor Horowitz, Fred L.  
Examiner Name Rimell, Samuel G.  
Group Art Unit 2175  
Attorney Docket No. 2097-039

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50-1325  
Deposit Account Name Patzik, Frank & Samotny Ltd.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims 27 -20\*\* = 0 x 9.00 = 0.00  
Independent Claims 3 -3\*\* = 0 x 0.00 = 0.00  
Multiple Dependent 0.00 = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	55.00
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	370.00
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 425.00

## SUBMITTED BY

Name (Print/Type) Max Shaftal  
Signature [Signature]

Registration No. (Attorney/Agent) 31,422

## Complete (if applicable)

Telephone 312/551-8300  
Date 10/18/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.